AXIS Canada | Financial Lines

Appetite Guide, Success Stories, and Contacts

Management liability coverage for enterprises across the business spectrum.



Elevating Solutions For Our Partners

Escalating risks and a demanding regulatory environment create growing challenges for your customers. AXIS is a specialty underwriter with a long track record of creating management liability solutions designed to mitigate these risks. We're here to help your customers elevate their ambitions and explore new pathways through tailored insurance products and services, on a global scale.

We offer a broad risk appetite and have a long-standing reputation for writing complex D&O programs for publicly listed companies. You'll see we have expanded our appetite to support more risks for privately-held companies in the lower middle market segment.

We will help you find the right coverage solution, no matter how specialized.



Risk Solutions

Financial Lines Coverage

- · Serving enterprises across the size spectrum
- Limits up to \$15 million
- Primary and follow-form excess
- Package or standalone options available
- Regulatory compliance coverage
- Worldwide territory

Product Offering

- Directors and officers liability (Public)
- Directors and officers liability (Private)
- Directors and officers liability (Lead Side A DIC)
- Employment practice liability
- Fiduciary liability
- · Commercial crime (Fidelity/social engineering fraud)
- Private equity liability
- Workplace violence
- Cyber sublimits

Target Risk

Public and privately held firms in a wide array of industries:

- · Communications and media
- Consumer staples
- Financial advisors and services
- Industrial services and goods
- Insurance companies
- Investment managers
- Manufacturing and construction
- Private equity
- Real estate and premises risk
- Technology and software services

- Transportation and logistics
- Utilities and energy
- · Wholesalers, distributors, importers, and retailers



Recent Wins Complex (Publicly Listed) Companies



Class of Business	Coverage	AXIS Advantage
NYSE/NASDAQ corporation	2.5x2.5 ABC	 Experience with SEC regulatory compliance and exposure Competitive primary on non-target space
Large-cap TSX natural resources	10x30 ABC	 Large existing portfolio in the sector Comfort with global operations
Small-cap TSX software firm	Primary \$5M ABC	 Investigative cost, electronic discovery sublimits and Side A reinstatement Business acumen
Mid-cap TSX hospitality chain	10x10 ABC	Underwriting expertiseRisk understanding
Dual list O&G corporation	5x5 ABC	• Strong primary proposal, placed XS as inherent future alternate
International precious metals miner	\$10M Lead Side A DIC	 Broad form, no exclusions \$10M reinstatement and \$10M additional independent limit



Recent Wins

Lower Middle Market (Privately Held) Companies

Class of Business	Coverage	AXIS Advantage
Cross-border steel refinery	D&O, EPL, FL	 US underwriting experience Crime coverage option for future
Solar farm	D&O, EPL, WPV, Cyber	 \$100K cyber sublimit \$250K WPV sublimit Broad form with enhanced coverage
Canada local tie-in to North American corporation	D&O	 Global capabilities and appetite Service response and turnaround time
Sand and gravel >\$1B sales	D&O, EPL, FL, Crime	 Crime cover consolidated on one policy Expanded casualty and E&O relationship
Healthcare services >500 employees	D&O, EPL	 Multi-year agreement Strategic broker relationship
Consumer technology software	D&O, EPL, WPV, FL, Cyber, Crime (SEF)	 Social engineering fraud 250K sublimit EPL expertise in various US states



Committed to the Canadian Management Liability Market



The AXIS Advantage

- **Trusted**, **expert underwriting** Direct access to leading specialty underwriters with expertise, products, and services tailored for your needs.
- Innovation Creative thinking that elevates beyond standard solutions.
- **Responsiveness** Alignment with you and your customers for timely action.
- Local authority Full responsibility in Canada for underwriting and claims.
- Stability A stable, long-term market partner for brokers and customers.
- Claims Excellence Count on swift action, insightful responses, and clear, consistent communications.
- Financial strength you can rely on A+ S&P Rating. \$1.8B Financial Lines platform. axiscapital.com/Canada/who-we-are/financial-strength-ratings

Contacts

Eric Tu Unit Leader +1 416 361 6640 eric.tu@axiscapital.com

Leo Yeung Underwriter/Souscripteur +1 416 361 6637 leo.yeung@axiscapital.com **Sonia Dubovici** Senior Underwriter +1 416 361 6639 sonia.dubovici@axiscapital.com

Amanda Vnuk Account Manager +1 860 707 1705 amanda.vnuk@axiscapital.com John Eves Underwriter +1 416 361 6651 john.eves@axiscapital.com

Margaret Mede Head of Claims/Chef des Reclamations +1 416 361 7215 margaret.mede@axiscapital.com

Product information is for descriptive purposes only and does not provide a complete summary of coverage, and is intended for use by licensed insurance producers. It is not an offer to sell, or a solicitation to buy, any insurance product for a particular insured. Policy terms, conditions or exclusions may vary. Coverage may not be available in all jurisdictions and is subject to underwriting. Consult the applicable insurance product for specific terms, conditions, limits, limitations, and exclusions. No insurance product is offered or will be sold in any jurisdiction in which such offer or solicitation would be unlawful.

In Canada, coverage is underwritten by AXIS Reinsurance Company (Canadian Branch) or by AXIS Managing Agency Ltd ("AMAL") on behalf of Syndicate 1686. The Branch is supervised by the Office of the Superintendent of Financial Institutions in Canada and licensed in all provinces and territories. It is operated by AXIS Reinsurance Company, licensed in all 50 of the United States and the District of Columbia. AMAL is registered in England (Company Number: 08702952) with a registered office at 52 Lime Street, London, EC3M 7AF. AMAL is authorized by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority (Firm Reference Number: 754962). AMAL manages Syndicate 1686 and is additionally subject to the supervision of the Society of Lloyd's. The Syndicate also benefits from the strength of the Lloyd's brand, its network of global licenses and the Lloyd's Chain of Security. More information about AXIS' financial strength and individual insurance company ratings is available at https:// www.axiscapital.com/who-we-are/financial-strength-ratings.

